

7-12-00

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
BLDR-01Total Pages in this Submission  
17**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

Sliding Door Latch Assembly

and invented by:

Peter Hauber

jc542 U.S. PTO  
09/613294  
07/10/00If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

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☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having Eleven (11) pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal      b. ☒ Informal      Number of Sheets Two
4. ☐ Oath or Declaration
- a. ☐ Newly executed (original or copy)      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☐ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & documents)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail (Specify Label No.): EK686415471US

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## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Small Entity Statement(s) - Specify Number of Statements Submitted: \_\_\_\_\_
17. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	13	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	2	- 3 =	0	x \$39.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$380.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$380.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
  - ☐ Credit any overpayment.
  - ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: July 10, 2000

Signature

cc:

5

## Cross-Reference to Related Applications

10

### Statement Regarding Federally Sponsored Research or Development

15

Not Applicable

## 20

## 1. Field of the Invention

25

## 2. Description of the Related Art

30

there is little space on the latch assembly for the handle unless the area over the latch actuation mechanism is used. This handle placement, however, leads to difficulties in operating the mechanism in its hidden and difficult to reach location.

## 5 Brief Summary of the Invention

It is an object of the invention to enable the use in sliding doors of larger handles that can be gripped by more than the fingertips while avoiding the difficulties that such placement of the handle causes when the handle in order to be adequate in size and shape tends to block the hand movement needed to reach the latch and latch lever. It is a further object to eliminate the resultant interference with latch operation and door locking and unlocking that use of larger handles has precipitated.

It is another object of the invention to provide a sliding door and latch assembly that provides a large handle for door shifting but is readily latched as well. It is a further object to provide an improved latch assembly that is accessible despite the presence of the larger handle. It is a still further object to provide a sliding door latch assembly that vertically spaces the latch and the latch lever such that the lever is accessible beyond the handle while the latch is within the housing locus where the handle is located. It is a further and specific object to provide an elongated, vertically disposed 4-bar coupling of the spaced latch and lever that translates the rotation of the lever into rotation of the latch for shifting the latch into or out of latching relation with the latch keeper.



lever plate causes a like rotation in the latch plate and the latch, there is also included a pair of bars movably fixed to and extending between the lever plate and the latch plate, the bars being arranged to transmit rotary movement of the lever plate to the latch plate, and the bars are of a length to extend from within  
5 the housing locus to beyond the housing locus and across the predetermined vertical distance.

In a further embodiment, the latch is hook-shaped and the cooperating keeper comprises a slot, the housing is rectangular in cross-section and comprises front, rear and side walls, the front wall being slotted to pass the latch  
10 in shifting relation to and from the keeper, the side walls supporting the latch assembly, the pull handle is an inside handle sized for grasping with several fingers, and including also an outside handle fixed to the housing, and there is also included a sliding door having a leading stile, the leading stile defining the housing.

15 In a further embodiment, the invention provides a sliding door and latch assembly having a vertically disposed pull handle, a rotatable latch lever beyond the handle and a rotatable latch opposite the handle, and a 4-bar coupling between the lever and the latch, whereby the latch is rotatable from beyond the pull handle for engaging a cooperating keeper.

20

#### Brief Description of the Several Views of the Drawings

The invention will be further described in conjunction with the attached drawings in which:

Fig. 1 is an exploded view of the invention sliding door latch assembly,  
partly broken away to show underlying parts;

Fig. 2A is a top plan view of the latch assembly;

Fig. 2B is a side elevation view thereof; and

5 Fig. 2C is a bottom plan view thereof.

#### Detailed Description of the Invention

With reference now to the drawings in detail, in Figs 1 and 2 the invention  
10 sliding door latch assembly, generally indicated at 10, comprises a vertically  
extended housing 12 that is suitably a portion of the leading stile 14 of the sliding  
door 16. Housing 12 has a vertically disposed pull handle 18 opposite a housing  
locus 22 extending over a major portion of but not all of the vertical extent of the  
housing. The latch 24 proper extends from latch housing 25 that is mounted  
15 within the housing locus 22, the latch being is shiftable to and from the housing  
for locking the sliding door to a cooperating keeper 26 mounted in a sliding door  
jamb opposite the latch.

The latch 24 is suitably hook-shaped; its cooperating keeper 26 comprises  
a slot 27 sized to receive and retain the latch hook portion. Housing 12 is suitably  
20 rectangular in transverse cross-section and comprises front wall 28, rear wall 32,  
and side walls 34, 36. Housing front wall 28 is slotted to pass the latch 24 in  
shifting relation to and from the keeper 26. Side walls 34, 36 support the latch  
housing 25 10 in position through mounting screws 38. Pull handle 18 defines the  
door inside handle and is sized for encirclement by and grasping with several



fingers. An outside handle 42 is also fixed to the housing 12 to complete the door and latch assembly.

It will be noted that the handle 18 covers much of the housing locus 22, and will cover a latch-operating lever that is in the typical position. The invention  
5 places the latch 24 in the typical position in housing locus 22, but moves the latch operating lever to a position that is not behind or covered over by the handle 18. For this purpose the invention uses a 4-bar linkage that transmits the rotary motion of the lever to the latch actuator as follows: A rotary actuator 44 located within the housing locus 22 serves to shift the latch 24 in locking and unlocking  
10 relation by rotation of shaft 45 in latch housing a lot 47. A hand-operated lever 46 is rotatably mounted to the housing 12 beyond the housing locus 22. Lever 46 is vertically spaced a predetermined distance D from the rotary actuator 44 and rigidly linked to the rotary actuator for rotatably actuating the latch 24 by the rotatable lever without having the lever within the housing locus 22. Thus, hand  
15 actuation of the lever 46 and shifting of the latch 24 is free of interference from the pull handle 18.

Lever 46 includes a rotatable lever plate 48, the lever plate and the lever being mounted to a common pivot, shaft 52, for rotation together responsive to hand operation of the lever. The rotary actuator 44 comprises a rotatable latch  
20 plate 54, the latch plate and the latch being mounted to a common pivot, shaft 45, for rotation together responsive to actuation of the latch plate by the lever 46 and its rotatable lever plate 48 through the coupling of the plates 48 and 54 by a pair of bars 58, 62 movably fixed to either edge of the lever and latch plates and

extending therebetween, so as to transmit rotary movement of the lever plate to the latch plate. It will be noted the bars 58, 62 are of a length to extend from within the housing locus 22 to beyond the housing locus and across the predetermined vertical distance D.

- 5           The invention thus provides a sliding door and latch assembly that provides a large handle for door shifting but is readily latched as well, and an improved latch assembly that is accessible despite the presence of the larger handle that vertically spaces the latch and the latch lever such that the lever is accessible beyond the handle while the latch is within the housing locus where
- 10   the handle is located. In particular, the invention provides an elongated, vertically disposed 4-bar coupling of the spaced latch and lever that translates the rotation of the lever into rotation of the latch for shifting the latch into or out of latching relation with the latch keeper. The foregoing objects are thus met.

## CLAIMS

I claim:

1. A sliding door latch assembly comprising a vertically extended housing  
5 having a vertically disposed pull handle opposite a housing locus extending over  
a major portion of but not all of the vertical extent of said housing, a latch  
mounted within said housing locus and shiftable to and from said housing for  
locking the sliding door to a cooperating keeper mounted in a sliding door jamb  
opposite said latch, a rotary actuator within said housing locus for shifting said  
10 latch, a hand-operated lever rotatably mounted to said housing beyond said  
housing locus, said lever being vertically spaced a predetermined distance from  
said rotary actuator, said lever being rigidly linked to said rotary actuator for  
rotatably actuating said latch by said rotatable lever without having said lever  
within said housing locus, whereby hand actuation of the lever and latch is free of  
15 interference from said pull handle.

2. The sliding door latch according to claim 1, in which said latch is hook-  
shaped and said cooperating keeper comprises a slot.

20 3. The sliding door latch according to claim 1, in which said housing is  
rectangular in cross-section.

4. The sliding door latch according to claim 1, in which said lever further includes a rotatable lever plate, said lever plate and said lever being mounted to a common pivot for rotation together responsive to hand operation of said lever.

5 5. The sliding door latch according to claim 1, in which said rotary actuator comprises a rotatable latch plate, said latch plate and said latch being mounted to a common pivot for rotation together responsive to actuation of said latch plate by said lever.

10 6. The sliding door latch according to claim 5, in which said lever further comprises a rotatable lever plate, said lever plate and said lever being mounted to a common pivot for rotation together responsive to actuation of said latch plate by said lever, said lever plate and said latch plate being rigidly coupled such that rotation of the lever plate causes a like rotation in said latch plate and said latch.

15 7. The sliding door latch according to claim 6, including also a pair of bars movably fixed to and extending between said lever plate and said latch plate, said bars being arranged to transmit rotary movement of said lever plate to said latch plate.

20 8. The sliding door latch according to claim 7, in which said bars are of a length to extend from within said housing locus to beyond said housing locus and across said predetermined vertical distance.

9. The sliding door latch according to claim 8, in which said latch is hook-shaped and said cooperating keeper comprises a slot.

5            10. The sliding door latch according to claim 9, in which said housing is rectangular in cross-section and comprises front, rear and side walls, said front wall being slotted to pass said latch in shifting relation to and from said keeper, said side walls supporting said latch assembly.

10           11. The sliding door latch according to claim 10, in which said pull handle is an inside handle, and including also an outside handle fixed to said housing.

12. The sliding door latch according to claim 11, including also a sliding door having a leading stile, said leading stile defining said housing.

15           13. A sliding door and latch assembly having a vertically disposed pull handle, a rotatable latch lever beyond said handle and a rotatable latch opposite said handle, a 4-bar coupling between said lever and said latch, whereby said latch is rotatable from beyond said pull handle for engaging a cooperating  
20    keeper.

## ABSTRACT OF THE DISCLOSURE

A sliding door has a latch assembly and a vertically disposed pull handle that would normally interfere with convenient operation of the latch lever. A rotatable latch lever is located beyond the handle and a rotatable latch is located  
5 opposite the handle. A 4-bar coupling extends between the lever and the latch so that the latch is conveniently rotatable from beyond the pull handle for engaging a cooperating keeper.

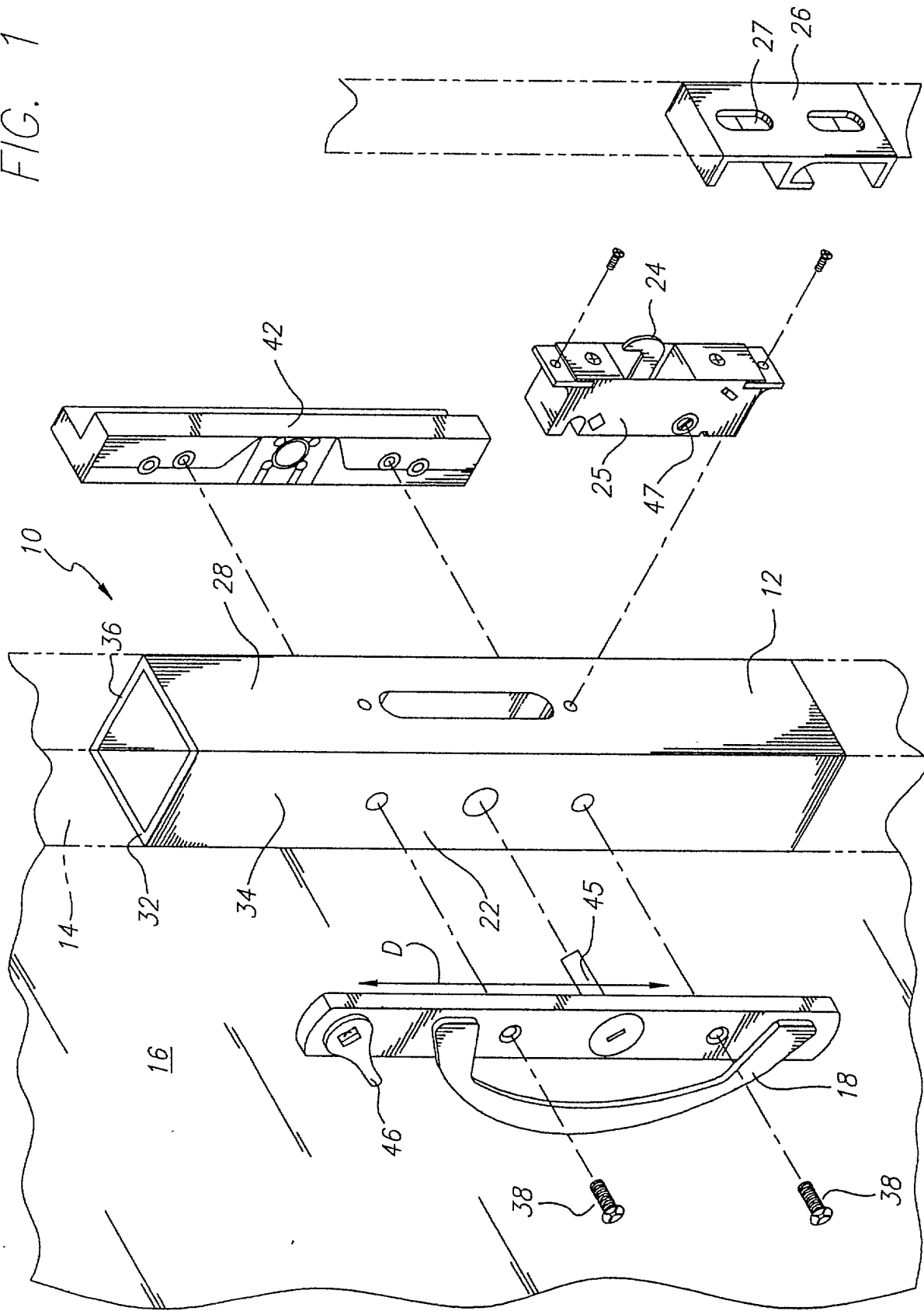


FIG. 2B

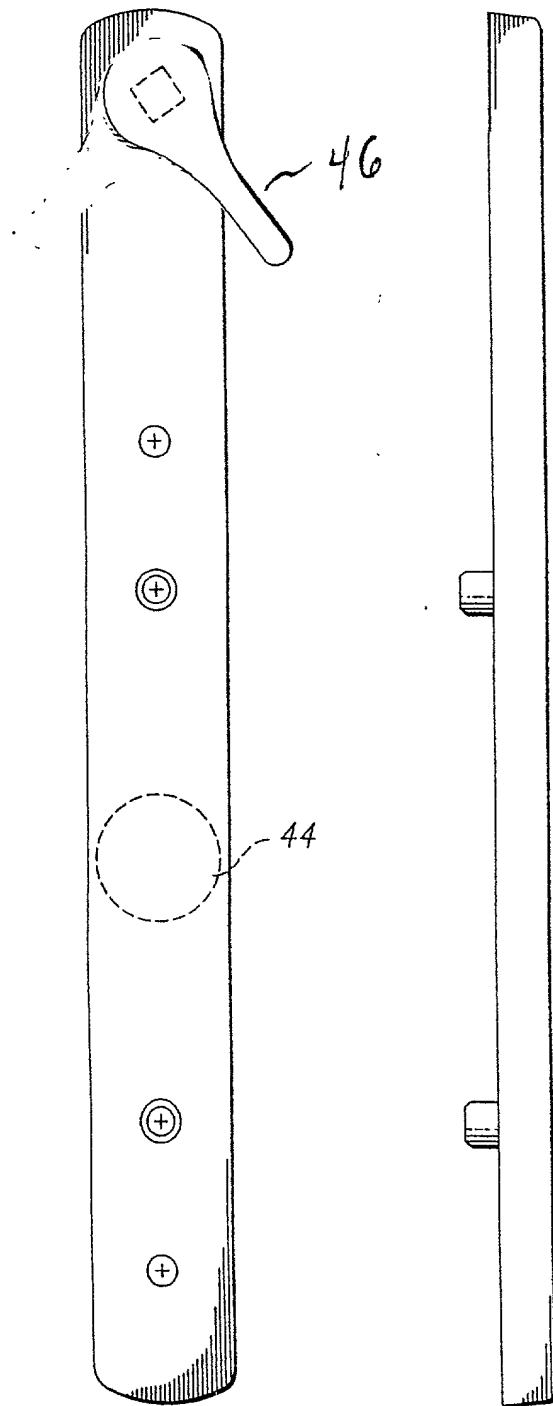


FIG. 2A

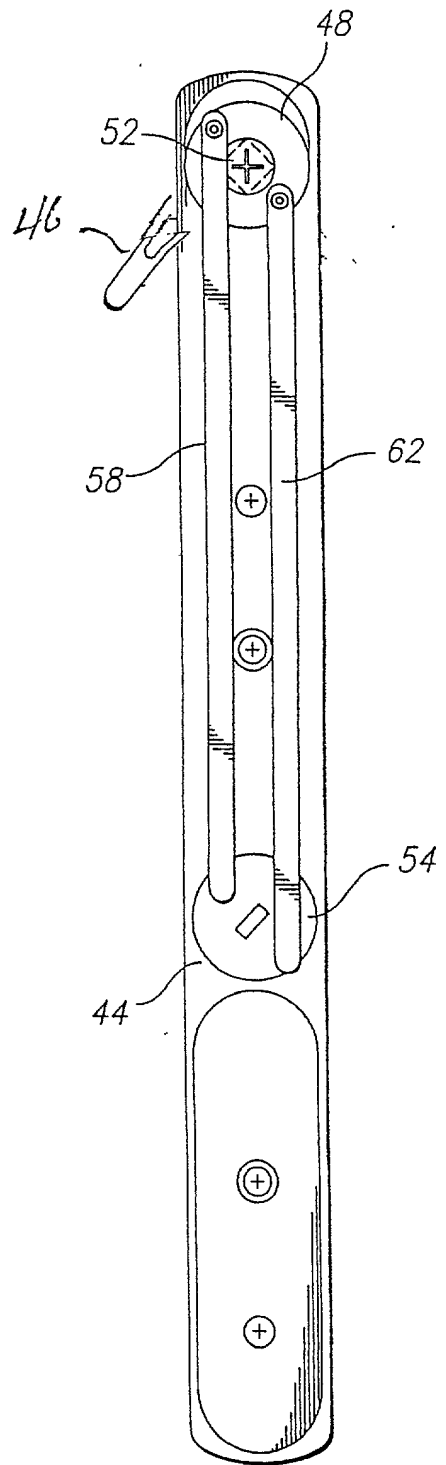


FIG. 2C